

# ReedSmith

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September 24, 2007

Commissioner for Patents  
**MAIL STOP 16**  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant: WOOD  
Title: AUTHENTICATION DEVICE HAVING EMBEDDED BISTABLE  
DISPLAY, AND ASSOCIATED SYSTEM AND METHOD  
Serial No.: 09/781,201  
Filed: February 13, 2001  
Art Unit: 2135  
Confirm. No.: 7037  
Examiner: T. A. Gyorfi  
Docket No.: 05-163-US

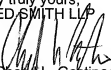
Dear Sir:

Enclosed are the following for filing in connection with the above-referenced application:

1. A Request for Refund; and
2. A copy of the Electronic Patent Application Fee Transmittal.

Very truly yours,  
REED SMITH LLP

By

  
Cheryl L. Gastineau  
Reg. No. 39,469

## **CERTIFICATE OF AUTHORIZATION/ELECTRONIC FILING**

This paper (along with any referred to as being attached or enclosed) is being electronically filed with the U.S. Patent and Trademark Office by the below-named person under the authority of the undersigned agent/attorney of record on the date shown below.

Name (Print/Type)	Jody L. Burtner		
Signature		Date	September 24, 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**WOOD**

Serial No.: 09/781,201

Filed: February 13, 2001

Art Unit: 2135

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**AUTHENTICATION DEVICE  
HAVING EMBEDDED  
BISTABLE DISPLAY, AND  
ASSOCIATED SYSTEM AND  
METHOD**

**Mail Stop 16**

Director of the U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR REFUND**

Dear Sir:

1. **REFUND REQUEST**

This is a request for a refund with respect to a charge to a credit card on July 23, 2007, for the above-identified

☒ application.

☐ patent.

☒ A copy of the Electronic Patent Application Fee Transmittal, in which the error referred to occurs, accompanies this request.

## 2. FEES CHARGED FOR WHICH REFUND REQUESTED

		AMOUNT OF REFUND REQUESTED
<input type="checkbox"/>	Filing Fee	
<input type="checkbox"/>	Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e))	
	<i>and/or</i>	
<input type="checkbox"/>	Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e))	
<input type="checkbox"/>	Extension of term	
<input type="checkbox"/>	<input type="checkbox"/> first month	
<input type="checkbox"/>	<input type="checkbox"/> second month	
<input type="checkbox"/>	<input type="checkbox"/> third month	
<input type="checkbox"/>	<input type="checkbox"/> fourth month	
<input type="checkbox"/>	<input type="checkbox"/> fifth month	
<input checked="" type="checkbox"/>	Excess Claims	720.00
<input type="checkbox"/>	Issue fee	
<input type="checkbox"/>	Petition fee	
<input type="checkbox"/>	Patent Maintenance Fee	
<input type="checkbox"/>	<input type="checkbox"/> first maintenance fee	
<input type="checkbox"/>	<input type="checkbox"/> second maintenance fee	
<input type="checkbox"/>	<input type="checkbox"/> third maintenance fee	
<input type="checkbox"/>	Patent maintenance fee surcharge	
<input type="checkbox"/>	Other: Appeal Fee	
<b>TOTAL REFUND REQUESTED</b>		<b>\$720.00</b>

**3. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR:**

Although Applicant paid large entity filing fees at the outset of filing the above-referenced application, Applicant, at the time of filing the application (on February 13, 2001) as well throughout prosecution of the application, including at the time of filing the Request for Continued Examination ("RCE") and related papers on July 23, 2007, is and always has been entitled to claim small entity status. Therefore, payment of the extra claims fees and RCE filing fees at the large entity rate on July 23, 2007, was made in error. Thus, a refund of one-half of the extra claims fees and RCE filing fees, totalling \$720.00, paid on July 23, 2007, is hereby requested. Payment of the large entity fees was in error. Applicant is entitled to claim small entity status. This request for refund is timely in accordance with 37 C.F.R. §1.26(a) and §1.28(a).

**4. MANNER OF REFUND**

Please make refund by:

- ☐ crediting Account No. 18-0582.
- ☒ refunding payment to the credit card used on July 23, 2007.

Respectfully submitted,



Cheryl L. Gastineau  
Reg. No. 39,469

Dated: September 24, 2007

REED SMITH LLP  
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Pittsburgh, PA 15230-0488  
(412) 288-4152

Agent for Applicant

## Electronic Patent Application Fee Transmittal

<b>Application Number:</b>	09781201			
<b>Filing Date:</b>	13-Feb-2001			
<b>Title of Invention:</b>	Authentication device having embedded bistable display, and associated system and method			
<b>First Named Inventor/Applicant Name:</b>	Roger D. Wood			
<b>Filer:</b>	Cheryl L. Gastineau/Jody Burtner			
<b>Attorney Docket Number:</b>	06683.0002.NPUS00			
Filed as Large Entity				
<b>Utility      Filing Fees</b>				
<b>Description</b>	<b>Fee Code</b>	<b>Quantity</b>	<b>Amount</b>	<b>Sub-Total in USD(\$)</b>
<b>Basic Filing:</b>				
<b>Pages:</b>				
<b>Claims:</b>				
Claims in excess of 20	1202	9	50	450
Independent claims in excess of 3	1201	1	200	200
<b>Miscellaneous-Filing:</b>				
<b>Petition:</b>				
<b>Patent-Appeals-and-Interference:</b>				
<b>Post-Allowance-and-Post-Issuance:</b>				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
<b>Extension-of-Time:</b>				
<b>Miscellaneous:</b>				
Request for continued examination	1801	1	790	790
<b>Total in USD (\$)</b>				<b>1440</b>